



Preliminary Information

We appreciate your interest in our firm. To ensure our services are a good match for your needs and to give us some background on your situation, kindly complete the fields below by entering a brief response after each question. Once we receive this information, we will contact you to discuss what next steps may be appropriate.

GENERAL CONTACT INFORMATION

Name: _____ Contact Date: _____
Home #: _____ E-mail: _____
Work #: _____ Contact Via: _____
Address: _____

GENERAL PERSONAL INFORMATION

Marital Status: Single Married Divorced Widowed Other: _____
Date of Birth
(DOB): _____
Name of
Spouse/SO _____ DOB: _____
of Children: _____
Ages: _____

QUESTIONS

1. How did you hear about us? Were you referred and if so, by whom?

2. Please provide us with a brief description of what you are trying to accomplish and how you think we might be able to assist you.

3. What is your approximate total Net Worth (excluding your primary residence)?

4. Do you anticipate any significant changes in your financial situation in the next 3 to 5 years?

5. What is your household income range?

6. What is an estimated range of investable assets (including savings, money markets, CDs, IRAs, 401(k), pension, stocks, bonds, and annuities)?
